

**ON-LINE TRADING USER ACCOUNT FORM**  
**ASIA CAPITAL STOCK BROKERS (PVT) LTD**

No. 59 Gregory's Road, Colombo-07, Sri Lanka.

Tel: +94 11 7564000

E-mail: [it@acapstockbrokers.lk](mailto:it@acapstockbrokers.lk)

Name in Full:-.....  
(Block letters)

Address :-.....  
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Tele :- (H)..... (O)..... (M).....

E-Mail :- (O).....

(P).....

CDS#	N	W	S																
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CDS Name .....

CDS#	N	W	S																
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CDS Name .....

**Users are advised to change their initial password at their first log on to ATrad and to change the password periodically.**

Asia Capital Stock Brokers (Pvt) Ltd. (hereinafter referred to as "The Broker") or IronOne Technologies (Pvt) Ltd. (hereinafter referred to as "The Solution Provider") shall not be liable for any unauthorized use of the password by third parties or for any unauthorized order or trade executed via **ATrad** and for any accidental and/or erroneously executed trades via **ATrad** any losses arising out of such trades shall be solely borne by the user. However, users may contact their Stock Broker / Investment Advisor immediately to arrange a manual trade cancellation, subject to counter party and Colombo Stock Exchange approval.

All the trades carried out via **ATrad** shall be subject to Conditions of Sale / Purchase of the Colombo Stock Exchange and the Rules of the Central Depository Systems.

Additionally, the user shall specifically be responsible for the settlement of any and all trades executed on behalf of accounts bearing the above user name.

**I undertake to abide by the following Terms and Conditions:**

1. All transactions shall be subject to the rules of the Colombo Stock Exchange, Central Depository Systems (Pvt) Ltd and in particular to the authority hereinafter granted by me to Asia Capital Stock Brokers (Pvt) Ltd.
2. I hereby permit and authorize Asia Capital Stock Brokers (Pvt) Ltd, at their absolute discretion and at my risk, to sell and transfer any or all of the shares or securities, registered in my securities account to be opened by me and maintained by the Broker at the Central Depository System (Pvt) Limited, in order to make good and compensate for any loss or damages incurred or sustained by the Broker, as a result of my default in making any payments lawfully due to the Broker, on or before the settlement date.
3. The above authority i.e. the right to sell securities given to the Broker by me, is in addition to other rights, powers and remedies available to the Broker under the prevailing laws rules and regulations of Sri Lanka. The authority granted hereby shall in no way affect or exempt me from any liability towards the Broker arising from or consequent upon any such default.

4. I acknowledge that the market data provided to me are obtained from the Colombo Stock Exchange Sources believed to be reliable and are provided solely on a BEST EFFORT BASIS ("AS IS" and "AS AVAILABLE" BASIS) and that no guarantees are made by the broker or the system providers as to their accuracy, completeness, timeliness or correct sequencing. I agree that the Broker or the system provider will not be liable to me or have any responsibility whatsoever, for: (a) any Losses arising out of or relating to a cause over which the Broker or the system provider do not have direct/indirect control, including the failure of internet, power failures, electronic or mechanical equipment or communication lines, telephone or network problems, unauthorized access; or (b) any special, indirect, incidental, consequential, punitive or exemplary damages that I may incur in connection with my use of the Services provided by the Broker under this Agreement.
  
5. I hereby agree that the Broker shall not be responsible for any delay or failure to comply with any part of these terms and conditions resulting from causes beyond the Broker's control, including but not being restricted to acts of God, fires, explosions, floods, earthquakes, wind storms, national strikes, national riots, national work outs, national boycotts, wars, laws, regulations or acts of any government or from other causes beyond the control of the Broker which by the exercise of due diligence the Broker is unable to prevent.
  
6. I hereby undertake to give you notice in writing of any changes of particulars given herein.
  
7. This application system is used solely for the purpose of operating my CDS account

\_\_\_\_\_  
 User Signature / Company seal & Authorized Signature

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**Office use**

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 Investment Advisor

\_\_\_\_\_  
 Portfolio Value

\_\_\_\_\_  
 Balance

\_\_\_\_\_  
 Department Head

\_\_\_\_\_  
 USER ID

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Processed by